



# BEST PRACTICES FOR ORCID IN JOURNAL MANUSCRIPT SUBMISSION AND REVIEW

Webinar | February 27, 2019



# AGENDA

- Welcome, introductions
- Collect & Connect in publishing workflows: Alice Meadows, ORCID
- ORCID in Publishing in China: Channing Chai, Social Sciences Academic Press (China)
- PLOS: Kerry Kroffe, PLOS
- ORCID in Publishing Working Group Update: Alice Meadows
- Q&A: All





Channing Chai, Social  
Sciences Academic Press  
(China)



Kerry Kroffe, PLOS



Alice Meadows, ORCID

**POLL: WHAT DO YOU USE  
ORCID FOR AT PRESENT?**

A complex network diagram with numerous nodes and connecting lines, rendered in a light gray color against a dark gray background, creating a web-like structure that spans the entire width of the slide.

ORCID'S VISION IS A WORLD WHERE ALL  
WHO PARTICIPATE IN RESEARCH,  
SCHOLARSHIP, AND INNOVATION ARE  
UNIQUELY IDENTIFIED AND CONNECTED  
WITH THEIR CONTRIBUTIONS AND  
AFFILIATIONS ACROSS DISCIPLINES,  
BORDERS, AND TIME

# COLLECT & CONNECT

- **GOAL:** Assert reliable information to your researchers' records (publications, peer review, etc) so they can share it with others
- **USER EXPERIENCE:** Follow our best practice guidelines
- **TRUST:** Display your organization as data source
- **EFFICIENCY:** Learn from others, share your experiences
- **VISION:** We all benefit from everyone playing their part
- **RECOGNITION:** Qualify for C&C badges

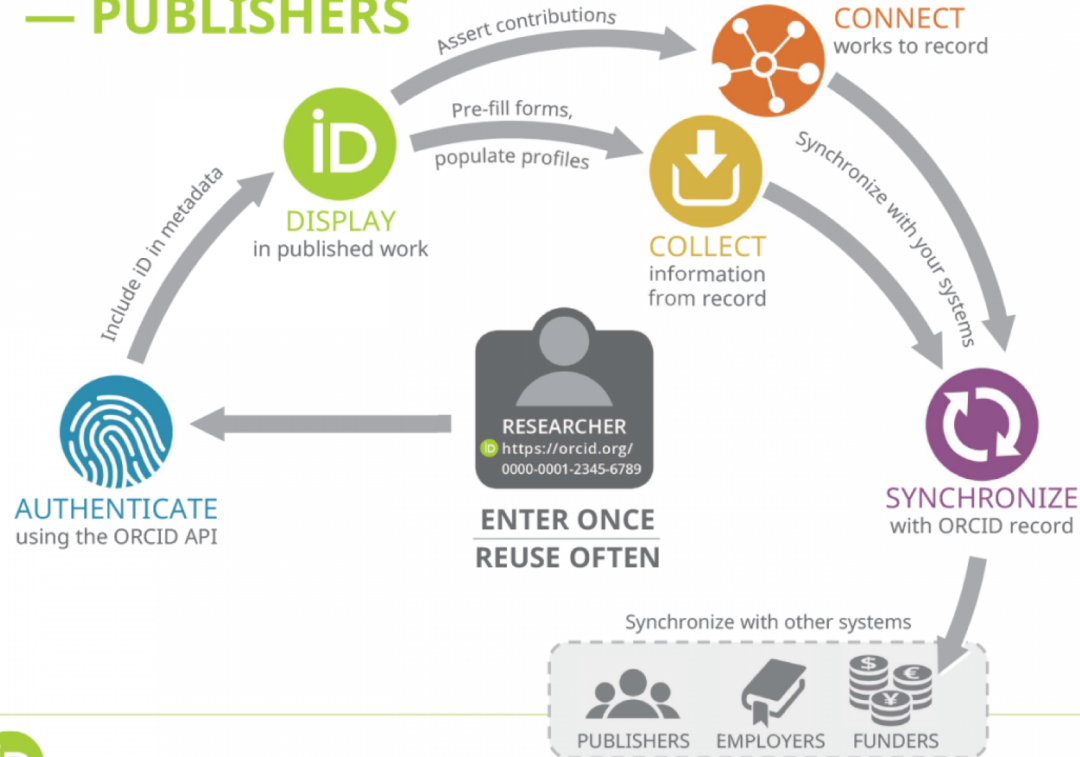


# ORCID IN PUBLISHING WORKFLOWS

- Journal manuscript submission
  - At submission
  - At acceptance
  - Corresponding author or all authors?
- During peer review process
  - Individual peer review activities
  - Peer review service over time
- Books submission process
- Editorial Board onboarding

# COLLECT & CONNECT WORKFLOW

## — PUBLISHERS





# AUTHENTICATE

Authenticate ORCID iDs to ensure individuals are correctly identified in your systems

- Provide an ORCID-branded button or link for collecting iDs
- AUTHENTICATE iDs during collection
- Explain why you collect authenticated iDs

The screenshot shows the ORCID Connect authentication page. At the top, the ORCID logo is displayed. Below it, the text 'ORCID Connect' is shown. A small blue icon with a white 'i' indicates that the application has asked for access to the user's ORCID Record. The user is prompted to 'Get your ORCID ID'. There is a checkbox labeled 'Allow this permission until I revoke it.' with a note that permissions can be revoked on the account settings page. Below this, a message states that the application will not be able to see the user's ORCID password or other private info, with a link to the Privacy Policy. A link for users without an ORCID ID is provided. The login fields include 'Email or ID' (with the example 'myself@awesome-university.edu') and 'Password' (masked with asterisks). At the bottom, there are links for 'Forgotten password?', 'Deny', and 'Authorize'.



# AUTHENTICATE

[ORGANIZATION] is collecting your ORCID iD so that we can [ADD PURPOSE]. When you click the “Authorize” button, we will ask you to share your iD using an authenticated process: either by registering for an ORCID iD or, if you already have one, to sign in to your ORCID account. We do this to ensure that you are correctly identified and securely connecting your ORCID iD. Learn more in [What’s so special about signing in.](#)

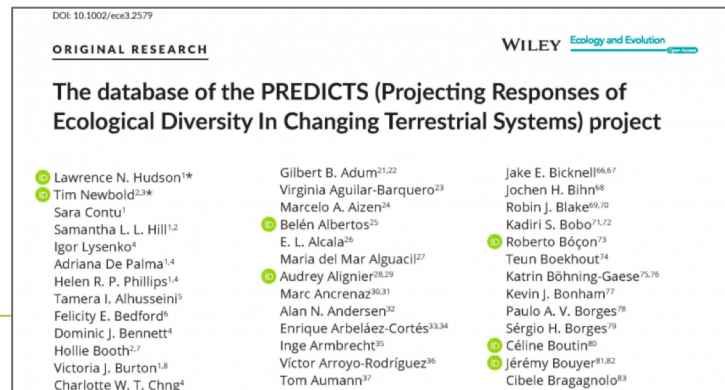




# DISPLAY


Display iDs to signal to researchers that your information systems are plumbed to support ORCID

- Store and publicly DISPLAY iDs
- DISPLAY the authenticated ORCID iDs in metadata
- Explain why you display iDs





# DISPLAY

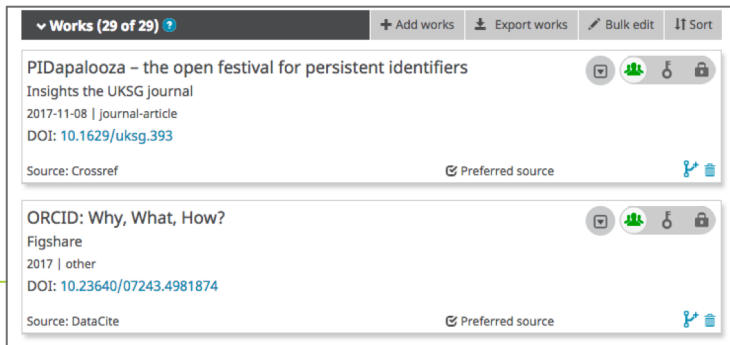
To acknowledge that you have used your iD and that it has been authenticated, we display the ORCID iD  icon alongside your name in our publications. Learn more in [How should an ORCID iD be displayed.](#)



# CONNECT

Connect information to ORCID records for trusted sharing with others

- CONNECT information from your system to ORCID records
- Include assertion metadata for each item
- Explain your system connections with ORCID and benefits to researchers





# CONNECT

By sharing your iD with [ORGANIZATION NAME], and giving us permission to read and update your ORCID record, you enable us to help you keep your record up-to-date with trusted information about your publications. Learn more in [Six ways to make your ORCID iD work for you!](#)





# COLLECT

Collect information from ORCID records to fill forms and support research reporting

- COLLECT information from ORCID records
- Use the data COLLECTED in your system, eg to complete online m/s forms
- Explain why you collect ORCID information

The screenshot shows a web interface for an ORCID iD profile. At the top is a red header with the text 'authors & referees'. Below this is a navigation bar with 'Personal profile' and 'Professional profile' tabs. The main content area is titled 'My Account → Personal Profile' and includes a 'Reset' button. A note indicates that fields marked with an asterisk (\*) are mandatory. The profile information displayed includes an ORCID iD (0000-0001-5727-2422), a dropdown menu for 'Title', and text input fields for 'First Name' (Sofia), 'Middle Name', 'Last Name' (Garcia), and 'Primary Email Address' (s.garcia@orcid.org).



# COLLECT

Filling in the same information about yourself over and over again is frustrating. That's why we let you choose information from your ORCID record to populate our online [NAME] form [MEMBER TO ADD SPECIFIC USE CASE]. Learn more in [Enter once, reuse often.](#)



# SYNCHRONIZE

**Synchronize information between ORCID records and your systems to improve reporting accuracy and speed**

- Make CONNECTIONs and COLLECTIONs over time using long-lasting permissions, updating information as it changes
- Provide a mechanism to accept and act on correction requests from ORCID users
- Explain the benefits for researchers of SYNCHRONIZATION



# SYNCHRONIZE

[ORGANIZATION] is making it possible for you to easily share your research information and keep it up-to-date. With your permission, we will synchronize information between [SYSTEM NAME] and your ORCID record, every X days/weeks. Learn more in [Enter once, reuse often.](#)



# COLLECT & CONNECT BADGES



- Displayed on your own website
- Highlighted on ORCID member integration page
- Announcement in ORCID member newsletter
- Monthly Collect & Connect blog post
- Featured on [members.orcid.org](https://members.orcid.org)
- Included in ORCID presentations, etc

# INTEROPERABILITY

ENTER ONCE  
REUSE OFTEN



# COLLECT & CONNECT RESOURCES

- Best practices for publishers:  
<https://orcid.org/organizations/publishers/best-practices>
- Collect & Connect workflows for publishers:  
<https://members.orcid.org/cc-publishers>
- Collect & Connect video:  
<https://vimeo.com/272353600>

Questions? Contact [support@orcid.org](mailto:support@orcid.org)





# ORCID IN PUBLISHING IN CHINA

THROUGH SSAP TO THE ACADEMIA

**PRESENTED BY:**  
CHANNING CHAI  
SOCIAL SCIENCES ACADEMIC PRESS (CHINA)  
Feb 2019 @ ORCID



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SOCIAL SCIENCES ACADEMIC PRESS(CHINA)

## About Us

Social Sciences  
Academic Press (China)

1

Founded in 1985, Social Sciences Academic Press (China) (hereafter **SSAP**) is the direct subordinate organization of the **Chinese Academy of Social Sciences (CASS)**. It specializes in scholarly publication in the humanities and the social sciences.

2

**SSAP** is a leading **SSH** academic publisher in China covering a wide range of topics in sociology, history, law, education, environment, economics, linguistics and other disciplines. SSAP's publication types covers books, journals, series in both print and electronic.

3

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## WHO ARE WE:



**Containing 50 thousand of SSH scholars' information;**



**10 database products, 1 e-book platform; the number is still growing;**



**Publications cover all SSH disciplines;**



**Leading on-going project “China’s Publication Standards” supported and appointed by the SAPPRFT;**



**Leading on-going project “Social Sciences and Humanities Multi-Languages Service Platform”, which will use the AI translation to increase the visibility of researches;**



**The cooperation with ORCID will build up a connection to promote China’s SSH research to the global community, further more to increase the cooperation between east and west.**



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## WHY WE VALUE ORCID:

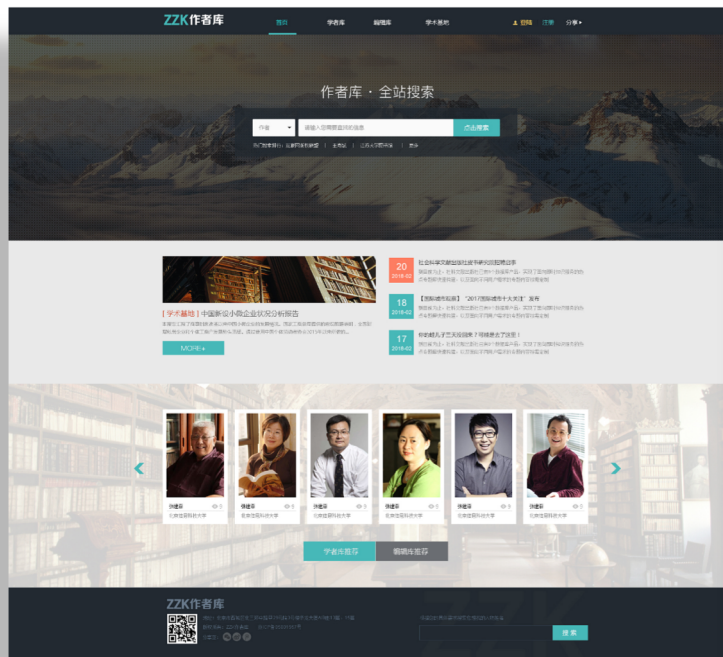
- 1 No service, no publishing. Editorial service is the bridge to link authors and readers.
- 2 China is a huge developing Country, not only the territory but also the quantity of the scientists and researchers. There are over 700 thousand of researchers in SSH areas. How to gather the mass data of those researchers is key to the development of the publishing.
- 3 Even there are giant publishing groups in China, in academic publishing, there are only a hundred small-middle size publishers. That means that there is no way to unite those entities' data unless there is an independent neutral 3<sup>rd</sup> party.
- 4 Funding is the key: national key funding project “*Social Sciences Open Access and Pre-Print Platform Based in Academic Publishing Norms*” (“Special Funds for Cultural Industry Development (Major Projects)”, Ministry of Finance of the People’s Republic of China; Press and Publication Reform Development Project, State General Administration of Press and Publication, Radio and Television, P. R. China)
- 5 To keep the late-mover advantage, we need to learn and to participate, and to become a qualified game player.



## IDEAL STRUCTURE:



# SSAP ID:



A Hub between SSAP platforms and ORCID



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# ROADMAP:

## Part 1

President and EiC  
register the ORCID;



## Part 2

Integrate SSAP  
resource to promote  
ORCID in China's  
SSH;



## Part 3

Request authors to  
supply ORCID iD in  
our publishing  
workflow; the iD will  
be printed on our  
publications;



## Part 4

Deep integration of  
ORCID in iEDOL  
system.



# ROADMAP:

## Part 5

Add ORCID  
information to all  
databases and eBook  
platforms;



## Part 6

Establish the SSAP iD  
platform, functioning  
as a hub to ORCID to  
record scholars and  
editors' information as  
well as works;



## Part 7


Implement the primary  
data docking projects,  
to exchange SSAP  
data with major  
international scientific  
services;



## Part 8

Step by step to  
gather all China's  
SSH scholars.





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[登录](#)
[注册一个 ORCID ID](#)
[了解有关](#)

4,477,066 ORCID ID 和计数。查看更多

显示 10 / 1894 结果

ORCID ID	名字	姓氏	其他姓名
<a href="https://orcid.org/0000-0003-3113-4514">https://orcid.org/0000-0003-3113-4514</a>	寿光	谢	
<a href="https://orcid.org/0000-0002-5512-0670">https://orcid.org/0000-0002-5512-0670</a>	光华	谢	G H Xie, Guanghua Xie, Xie G H, Xie Guanghua
<a href="https://orcid.org/0000-0003-1544-1194">https://orcid.org/0000-0003-1544-1194</a>	云	谢光	
<a href="https://orcid.org/0000-0001-8618-5780">https://orcid.org/0000-0001-8618-5780</a>	松光	谢	Xie S G, Xie Songguang
<a href="https://orcid.org/0000-0003-1497-3797">https://orcid.org/0000-0003-1497-3797</a>	怡秀	谢	Shou Yi, Yi Shou, Shou Y., Y Shou
<a href="https://orcid.org/0000-0003-3465-4072">https://orcid.org/0000-0003-3465-4072</a>	旦	寿	D Shou, Dan Shou, Shou D, Shou Dan
<a href="https://orcid.org/0000-0001-5406-9972">https://orcid.org/0000-0001-5406-9972</a>	寿圣	和	He Shousheng, He S S, S S He, Shousheng He
<a href="https://orcid.org/0000-0001-8672-1589">https://orcid.org/0000-0001-8672-1589</a>	凤寿	侯	
<a href="https://orcid.org/0000-0002-3967-4104">https://orcid.org/0000-0002-3967-4104</a>	晓梅	孙	
<a href="https://orcid.org/0000-0003-0842-2077">https://orcid.org/0000-0003-0842-2077</a>	寿丹	孙	

**用户名**

yuanhuang

**ORCID ID**

<https://orcid.org/0000-0001-8392-4664>

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为您的 ID 获取 ORC ID

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**国家和地区**

中国

**关键字**

出版, 数字出版, 信息化, publishing,  
digital publishing

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**电子邮件**

65384256@qq.com  
hyh@esap.cn

**简历**

**教育程度 (1)**

北京印刷学院; 北京, 中国  
2007-08-01 至 2008-07-01 | 本科

添加教育经历 | 详情

**就业状态 (1)**

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2007-01 至今

添加就业状态 | 详情

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**成果 (0 of 0)**

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 Room 1601, Tower B Hua Long Plaza,  
 Bldg 3, Jia No. 29 Beisanhuan Zhonglu,  
 Xicheng District, Beijing 100029, P. R. China.

[illegible]

# EXAMPLE: iEDOL

iEDOL (Intelligent Editorial Online) is an electronic system developed by the Social Science Academic Press (China), for the purpose of integrating submission, editorial and publishing requirements into one system. iEDOL is able to collect metadata of the articles and transfer into our publishing system directly. It also supports various types of the article files. With the embedded renderer, it can convert the articles into XML and PDF format, to support Pre-print and other similar services.

## iEDOL 集刊网络编辑管理系统

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### Native support Chinese and English

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ORCID  
University Member  
Organization

### Review service:



Review service



Review process customization



Data statistics



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# EXAMPLE: iEDOL

## ORCID login:

The system implemented with the ORCID, is able to support the ORCID authorization login, and

retrieve scholars information.

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ORCID  
Connect Your  
and Researcher's

注册 | 忘记密码

ORCID  
Connect Your  
and Researcher's

## Invite Authors and Reviewers:

The system supports search function in local author database through the ORCID ID. It also supports retrieving information through ORCID if the person is not in the database, and sends out invitations directly in the system.

ORCID

JUNYAN LIU  
<https://orcid.org/0000-0002-8892-1802>

(不是您?)

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要求对 ORCID 记录具有以下访问权限

id

获取您的 ORCID ID

☒

允许此权限,直到我撤销它为止。

(您可以在帐户设置页面上撤销权限。取消选中此框将仅为此次授予权限。)

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# EXAMPLE: ACADEMIC ARTICLES PUBLISHING PLATFORM (AAPP)

## Author-Article Automatic Matching:



The AAPP is the article publishing platform of the Social Sciences Academic Press (China). When a

作者登录说明

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## Article Pushing:

Unlike most of the similar systems, which push articles by random or by latest publication, the AAPP analyzes the retrieval information and pair it with the article database, to push the most relevant research articles.



**\* This function is under the construction, will be valid with the AAPP.**



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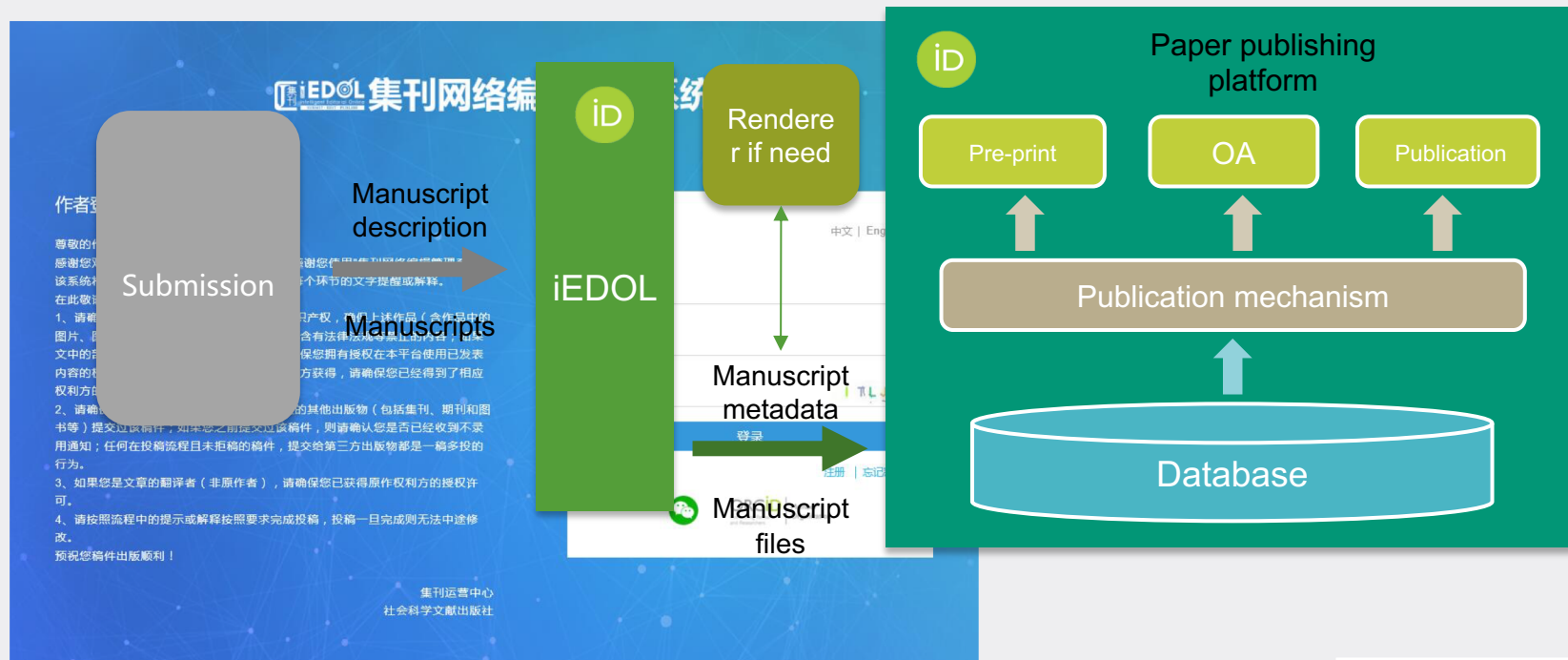
# EXAMPLE: ACADEMIC ARTICLES PUBLISHING PLATFORM (AAPP)



New AAPP will be online by the end of 2019. This platform will include journals, ISBN journals, book series, open access contents and pre-prints. The platform will hold 100k articles at the beginning, and publish around 25k articles annually.



# EXAMPLE: Metadata transmission and application



Contact us



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# ORCID @ PLOS

## ORCID in Publishing

February Webinar

Kerry Kroffe, Director, Editorial Services

February 2019

# Public Library of Science

**PLOS** is a nonprofit publisher and advocacy organization with a mission to advance progress in science and medicine by leading a transformation in research communication.



# 2018 Highlights

ARTICLES PUBLISHED  
 **20,000+**

**67** NOBEL  
LAUREATES 

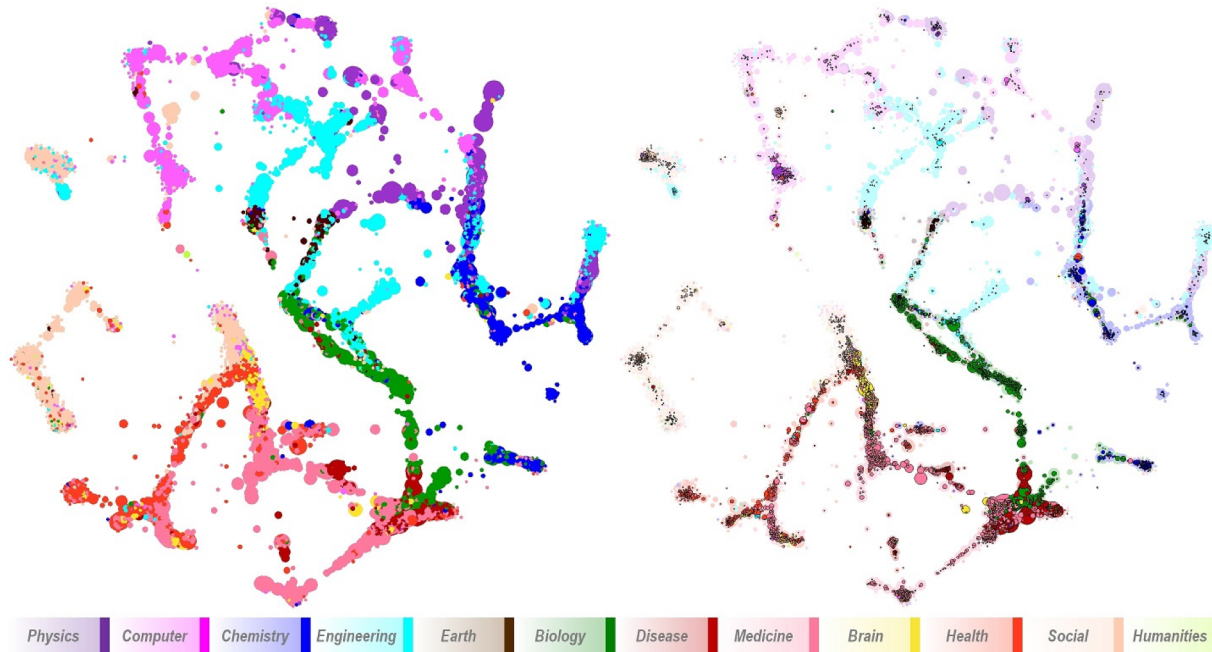
 **433,000+**  
TWITTER FOLLOWERS

**2,900,000+**  
BLOG VIEWS

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**65,000+** REVIEWERS

FROM **109** COUNTRIES



Visual depiction of the STS model of science (left) with an overlay of clusters containing papers in PLOS journals (right).

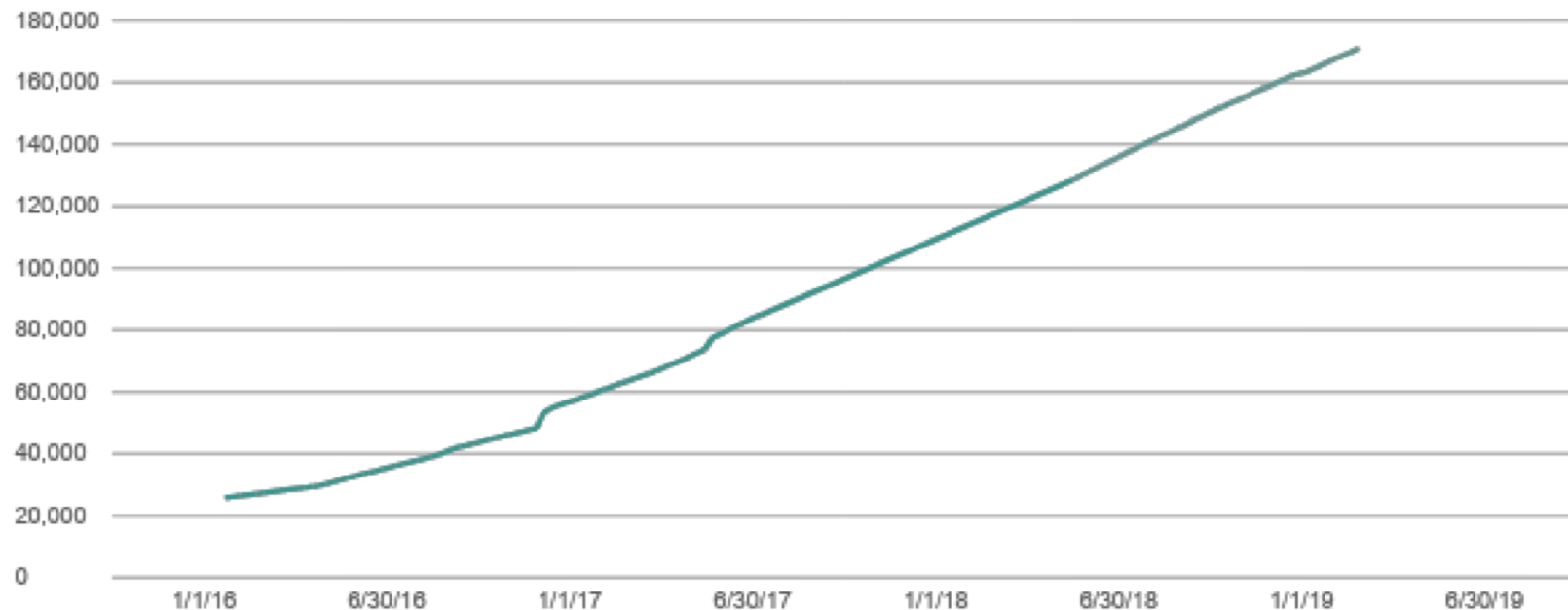
# ORCID @ PLOS

- Launched ORCID support in 2013
- Open Letter signatory committing to start requiring ORCID IDs in 2016
- Current uses
  - Authenticate via submission system
  - Publish ORCID IDs within XML/PDF/HTML
  - Auto-update contributors' records
- Coming soon
  - Providing reviewer credit



# Adoption

Cumulative New ORCIDs 2016-present





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RESEARCH ARTICLE

# Comparison of genomes and proteomes of four whole genome-sequenced *Campylobacter jejuni* from different phylogenetic backgrounds

Clifford G. Clark , Chih-yu Chen , Chrystal Berry , Matthew Walker, Stuart J. McCorrister, Patrick M. Chong, Garrett R. Westmacott

Published: January 2, 2018 • <https://doi.org/10.1371/journal.pone.0190836>

Article	Authors	Metrics	Comments	Media Coverage

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## About the Authors

### Clifford G. Clark

ROLES: Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Visualization, Writing – original draft, Writing – review & editing

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### Subject Areas

Protein expression

Proteomics

.OS

4,794,312 ORCID iDs and counting. [See more...](#)

## Clifford Clark

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## Country

Canada



## Keywords

public health, enteric diseases,  
proteomics, genomics, molecular  
typing, Escherichia coli, Campyloba

## ▼ Education (1)

↕ Sort

University of Alberta: Edmonton, AB, Canada

1988-09-05 to 1992-06-30 | Ph.D. (Medical Microbiology and Infectious Diseases)



Source: Clifford Clark

## ▼ Employment (1)

↕ Sort

Public Health Agency of Canada: Winnipeg, Manitoba, Canada

1995-09-02 to present | Research Scientist (Division of Enteric Diseases)

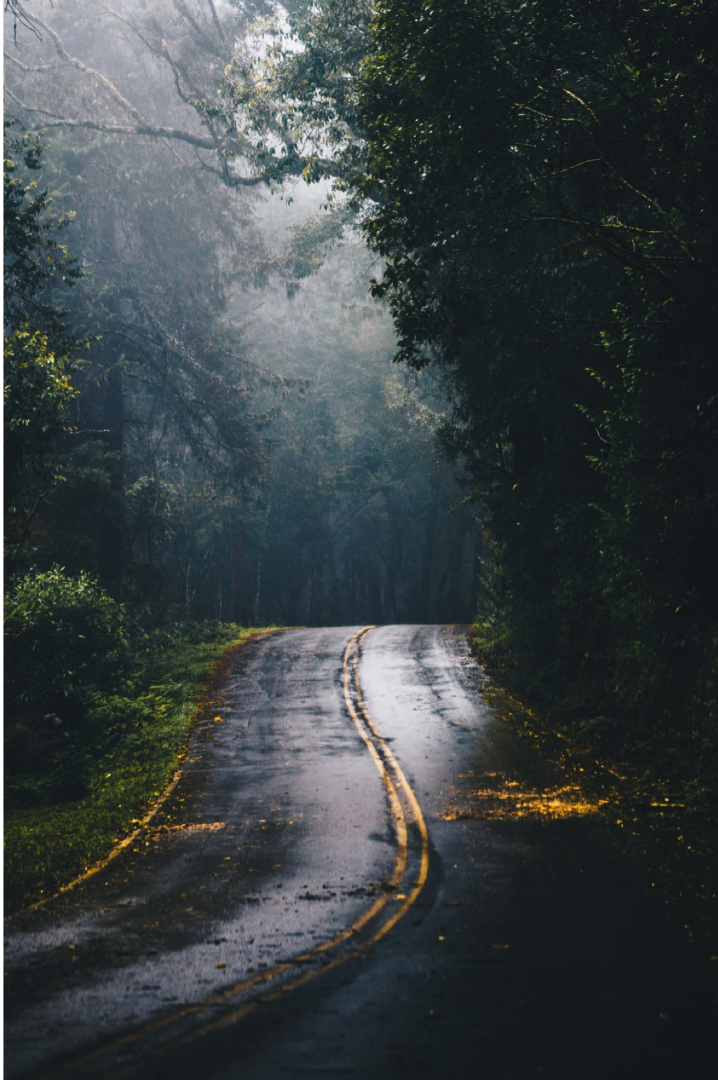


Source: Clifford Clark

## ▼ Works (1 of 1)

↕ Sort

Comparison of genomes and proteomes of four whole  
genome-sequenced Campylobacter jejuni from different  
phylogenetic backgrounds



# Future Work

Automatically provide credit to  
reviewers' ORCID record

Continue to raise ORCID awareness with  
our contributors

Provide credit to our EBMs through their  
ORCID record

# Clifford Clark

## ORCID iD

 <https://orcid.org/0000-0003-0566-1468>

 [Print view?](#)

## Country

Canada

## Keywords

public health, enteric diseases, proteomics, genomics, molecular typing, Escherichia coli, Campyloba

## ↓ Employment (1)

↑↓ Sort

### Public Health Agency of Canada: Winnipeg, Manitoba



1995-09-02 to present | Research Scientist (Division of Enteric Diseases)

Employment

Source: Clifford Clark

★ Preferred source

## ↓ Education and qualifications (1)

↑↓ Sort

### University of Alberta: Edmonton, AB



1988-09-05 to 1992-06-30 | Ph.D. (Medical Microbiology and Infectious Diseases)

Education

Source: Clifford Clark

★ Preferred source

## ↓ Works (1 of 1)

↑↓ Sort

### Comparison of genomes and proteomes of four whole genome-sequenced Campylobacter jejuni from different phylogenetic backgrounds



PLOS ONE

2018-01-02 | journal-article

DOI: [10.1371/journal.pone.0190836](https://doi.org/10.1371/journal.pone.0190836)

Source: Crossref

★ Preferred source

## ↓ Peer review (3)

↑↓ Sort

➤ review activity for [Applied and Environmental Microbiology\(1\)](#)

LOS

# Implementing Reviewer Credit

- Context
  - Aries provided functionality in August of 2018
  - Credit is one of our core commitments
  - Highly anticipating the functionality
- Lessons Learned
  - Test the technology
  - Don't overlook policy considerations
  - Many details to coordinate/sometimes Tech isn't the limiting factor!

# ORCID IN PUBLISHING WORKING GROUP

The purpose of this working group is:

- To increase knowledge and adoption of new ORCID programs and initiatives by the publishing community
- To increase ways for the publishing community to inform and support existing and new programs and initiatives

Key projects include:

- ORCID UI/UX in publishing
- ORCID for small publishers
- The ORCID experience for readers
- Adopting the ORCID publishing roadmap



# ORCID IN PUBLISHING UI/UX

We want to develop specific recommendations for consistent and engaging ORCID experiences for end users within publishing workflows. This work will be done through the exploration of specific user journeys placed within the context of both current and target workflows.

- Phase 1: Scope/framing (IN PROGRESS)
- Phase 2: Challenges/assumptions (Q2 2019)
- Phase 3: Design document (Q3 2019)
- Phase 4: Wireframes (Q3-4 2019)
- Phase 5: Final recommendation (late 2019/early 2020)

# ORCID FOR SMALL PUBLISHERS

How can we help maximize the adoption of ORCID by small publishers while ensuring that they are able to meet best practice requirements. What are the barriers to adoption and what support is needed (messaging, technical, financial?) to enable them to implement ORCID for authors and reviewers?

Expected outputs include:

- Guidelines for publishers implementing ORCID (minimum, preferred, excellent)
- Improved outreach resources
- Technical enhancements

**POLL: WHICH OF THE  
FOLLOWING TOPICS WOULD  
YOU MOST LIKE TO HEAR  
ABOUT IN A FUTURE  
WEBINAR?**

**QUESTIONS, DISCUSSION,  
NEXT STEPS!**