# ORCID: Connecting the Research Community

April 30, 2020



NCURA
Supporting Research...together



Connecting Research and Researchers

### **Introductions**



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### Agenda

1. What is ORCID?



- 2. ORCID US Community Consortium
- 3. Research Impact & Global Connections
- 4. ORCID for Research Administrators
- 5. Questions

### What is ORCID?

### ORCID'S VISION IS A WORLD WHERE ALL WHO

PARTICIPATE IN RESEARCH, SCHOLARSHIP, AND INNOVATION

ARE UNIQUELY IDENTIFIED AND CONNECTED TO THEIR

CONTRIBUTIONS AND AFFILIATIONS ACROSS TIME,

DISCIPLINES, AND BORDERS.



### **History**

- ORCID was first announced in 2009
- A collaborative effort by the research community
   "to resolve the author name ambiguity problem in scholarly communication"
- Independent nonprofit organization
- Offering services in 2012



### **ORCID**

An non-profit organization that provides:

- 1. ORCID iDs to people
- 2. ORCID records for people
- 3. Infrastructure to share research data between organizations



### **ORCID** for Researchers





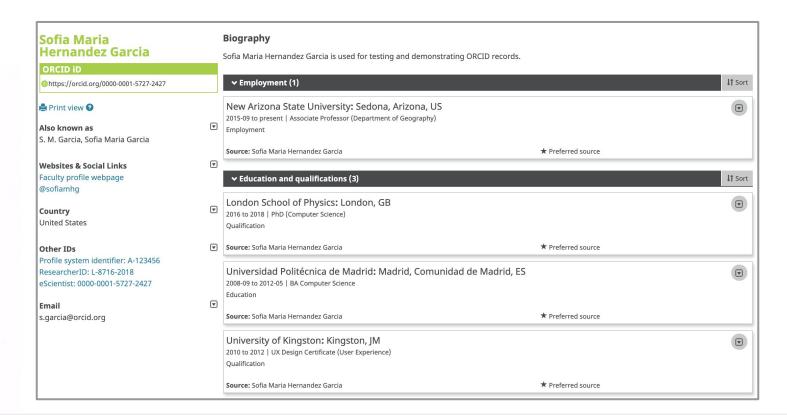
### Free Unique Identifier

Sofia Maria Hernandez Garcia ORCID iD

https://orcid.org/0000-0001-5727-2427

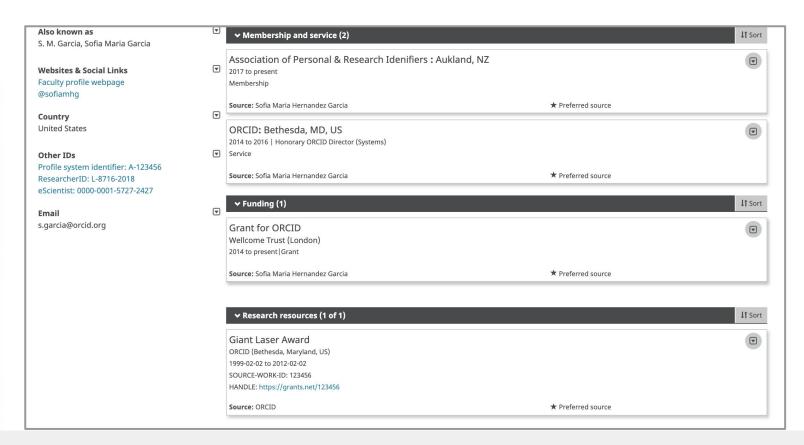
### **ORCID** Record:





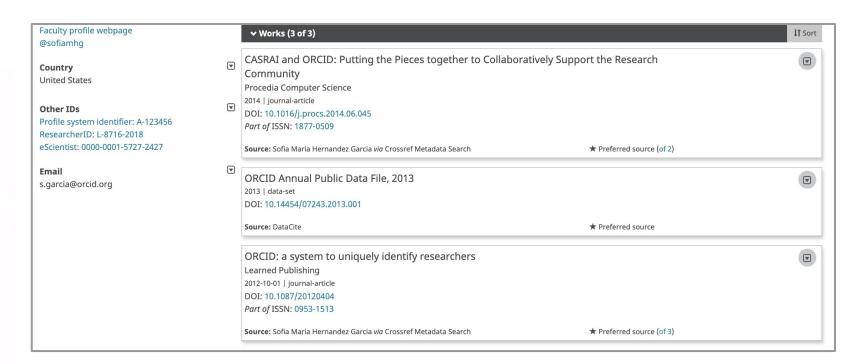
### **ORCID** Record:





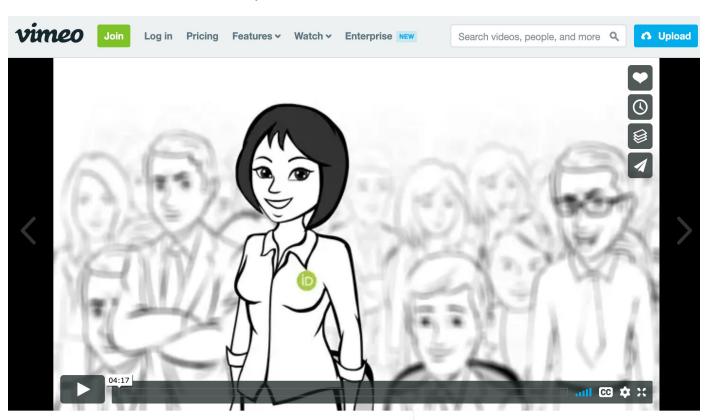
### **ORCID** Record:





### What is ORCID?

https://vimeo.com/97150912



What is ORCID?

More from ORCID

Autoplay next video

### **ORCID** for Research Organizations

#### Researcher

- 1) Researcher creates ORCID iD
- 2) Populates record



### All records are saved in the ORCID Registry

**ORCID** 



### Your Organization

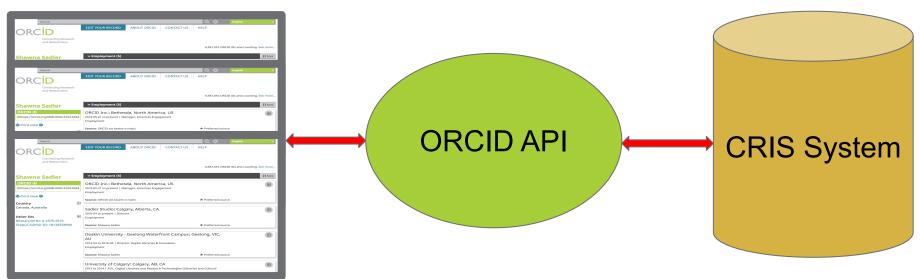






### **API Technology to Transfer Data**

### **ORCID** Registry



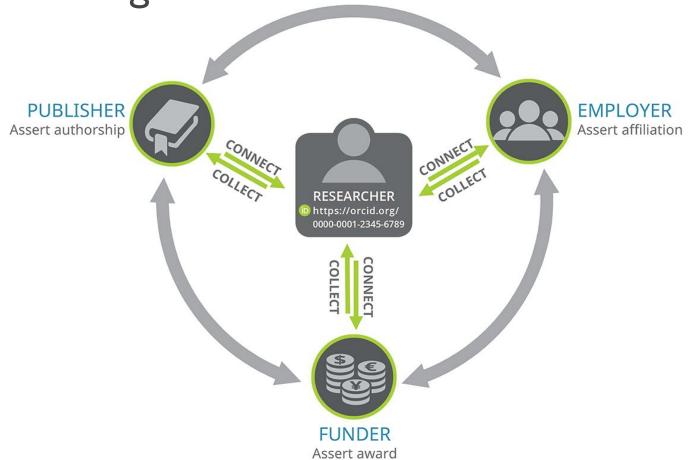


### Connect your CRIS with ORCID

- Atlas s2id
- Converis
- Digital Measures
- Elements
- Faculty180
- InfoEd
- IRMA
- Pure

- DSpace CRIS
- Vivo
- Haplo
- Worktribe
- Fundanet

**ORCID** for Organizations



### Written to ORCID records



- 53,319,442 works entries in ORCID registry as of April 1, 2020
- 47,487,703 of those works have DOIs
- 37,449,239 of those works were written to ORCID by member organizations via ORCID API
- Top organizations adding works:
  - Scopus Elsevier (17,281,548)
  - ResearcherID (5,077,560)
  - Crossref (3,290,058)
  - Europe PubMed Central (2,557,994)
  - Crossref Metadata Search (2,041,706)
  - o INSPIRE-HEP (934,136)
  - o CIÃNCIAVITAE (821,728)
  - Publons (721,903)

- NASA Astrophysics Data System (433,781)
- Multidisciplinary Digital Publishing Institute (274,081)
- o DataCite (169,166)
- University of Helsinki (156,106)
- University of Hong Kong (141,012)
- BASE Bielefeld Academic Search Engine (136,232)
- New York University (104,645)

### ORBIT project ORCID Reducing Burden and Improving Transparency

Building Information Infrastructure with Research Funders



- 1. Integrating ORCID into the research grant lifecycle.
- Engages funders to use persistent identifiers to automate and streamline the flow of research information between systems.
- 3. Goal: transparency and reliability of research information.



The ORBIT Funder Working Group therefore makes the following recommendations:

- Funders, publishers, and identifier registries should work together to develop, implement, and socialize workflows that use identifiers to create and share transparent connections between people, funding, and research activities in grant and publication workflows
- Funder reporting systems should implement digital reporting workflows that reduce reporting burden, by enabling researchers to populate web forms with information from other systems, including ORCID records, without rekeying or manual data entry
- Funders should partner with publishers to leverage identifiers for organizations, grants, and people, to enable compliance with funder open access and data-sharing requirements

### Funding in ORCID



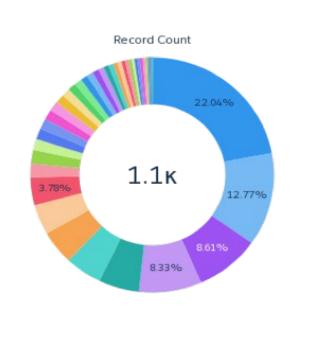
- ORCID iDs with funding activities (227,090)
- Total funding activities (798,972)
- Funding activities with unique organizations (222,217)



8.3 million + researchers have an ORCID iD

1,150 + organizational members

24 ORCID consortia













### Netherlands @

#### Sweden (



### Two US ORCID Consortia



https://www.lyrasis.org/Leadership/Pages/orcid-us.aspx



### US Government ORCID Consortium

www.osti.gov/doe-orcid-consortium

### ORCID US Community Consortium





Organization

### **ORCID US Community**

- National consortium for institutional ORCID membership, formed in 2018
- Reduced ORCID membership fee (\$4,300/year)
- Community of practice around ORCID in the US



Connecting Research and Researchers











### ORCID US Community: 132+ Member Organizations

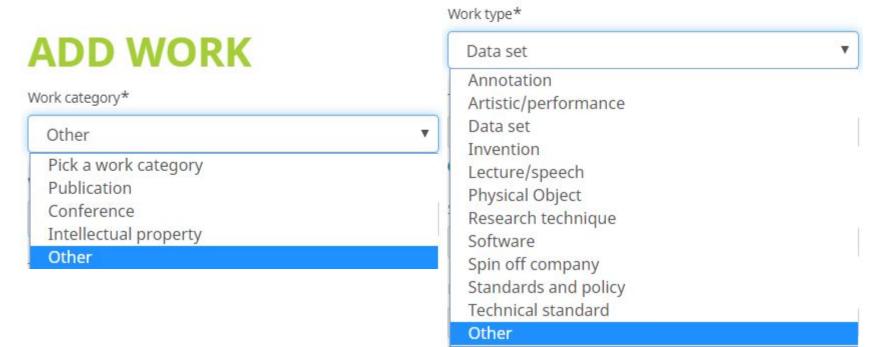


### Institutional ORCID Stakeholders



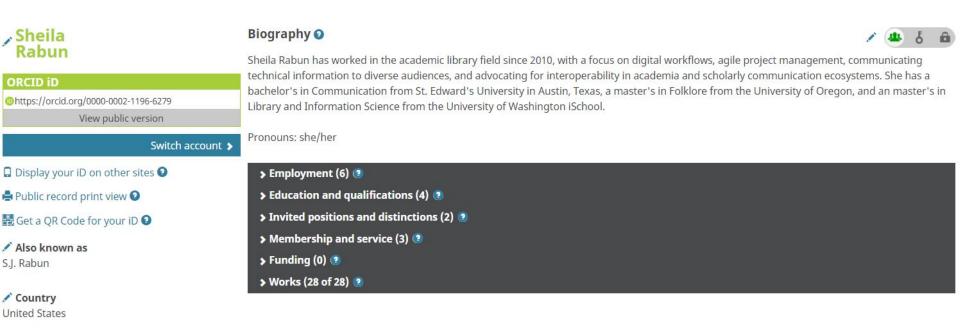
### ORCID for All Disciplines/Contributions

- STEM has led the way so far
- Arts & Humanities, Social Sciences
- Law Schools US News "Scholarly Impact" rankings



### ORCID = Central Hub

- ORCID is a central hub for recording data about affiliations & activities
- Data within ORCID is interoperable



### Benefits of ORCID for Research Institutions

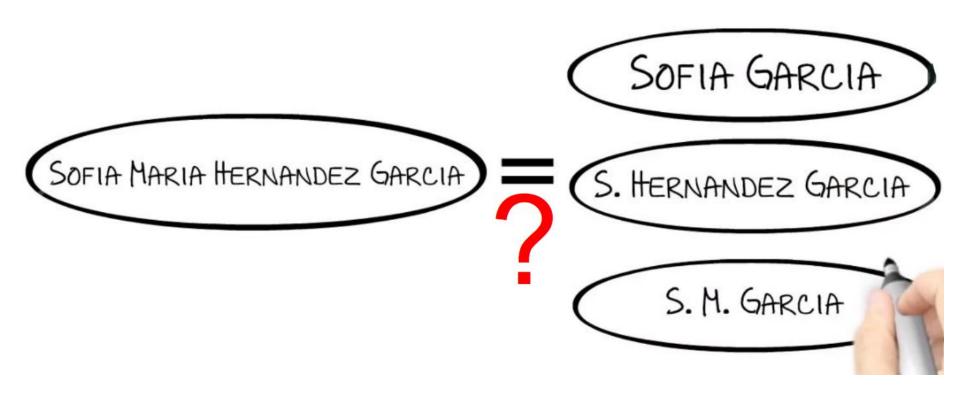
 Uniquely identify researchers

 Streamline reporting workflows



 Assert trustworthy affiliations & activities  Save time & reduce administrative burden

### Uniquely **Identify** Researchers



## The Value of Using Unique Identifiers for Researchers

### What's in a Name?

Most names are not unique



Many people have the same name

Names may change through marriage or other circumstances







People use different versions of their name during their career

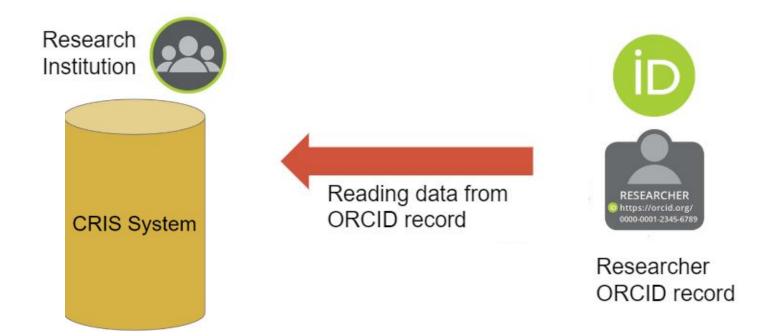
### Benefits of ORCID for Research Institutions

 Uniquely identify researchers

Streamline reporting workflows

 Assert trustworthy affiliations & activities  Save time & reduce administrative burden

### **Streamline** Data Collection for Reporting & Assessment



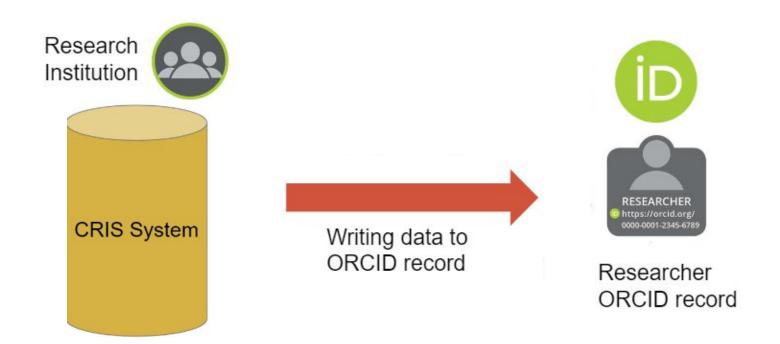
### Benefits of ORCID for Research Institutions

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#### **Assert** trustworthy affiliations & activities



#### Benefits of ORCID for Research Institutions

 Uniquely identify researchers

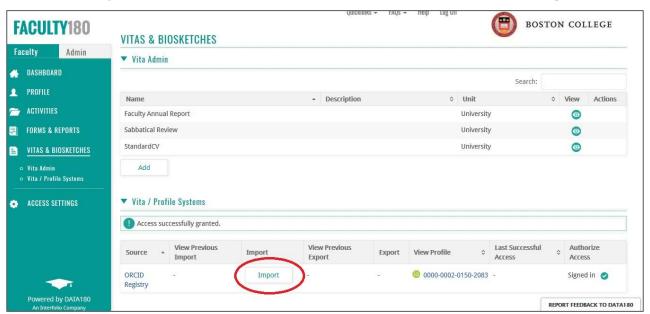
Streamline reporting workflows

İD

 Assert trustworthy affiliations & activities  Save time & reduce administrative burden

#### Save Time & Reduce Administrative Burden

- Use ORCID as a central hub for storing researcher info
- Transfer data between ORCID/Institutional Systems
  - Click of a button vs. Filling out forms over and over again
- Example: Faculty180 or other Research Information Management Systems



#### Save Time & Reduce Administrative Burden

- Use ORCID as a central hub for storing researcher info
- Transfer data between ORCID/Institutional Systems
  - Click of a button vs. Filling out forms over and over again
- Example: SciENcv biosketch platform (NIH & NSF)



#### Evidence of Institutional Value

Examples of time/staff savings achieved by implementing ORCID from around the world



UK: 0.2 – 0.4 FTEs per institution<sup>1</sup>

Portugal: 100,000 researcher hours per year<sup>2</sup>

Australia: 15-30 minutes per grant application<sup>3</sup>

- Jisc/ARMA Institutional ORCID Implementation and Cost Benefit Analysis Report 2015
- 2. Cátia Laranjeira, FCT Fundação para a Ciência e a Tecnologia 2017
- 3. Australian Research Council governance meeting, September 2018

"Having ORCID iDs for most of our researchers has helped in providing authoritative accounts in our various databases, ensuring accuracy in reviewer identities, and helping editors find reviewers and check expertise."

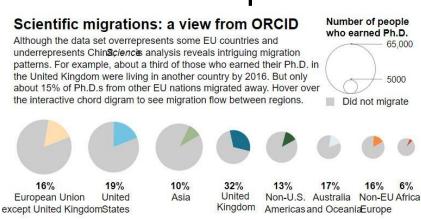
-Brooks Hanson, Executive Vice President, Science, American Geophysical Union

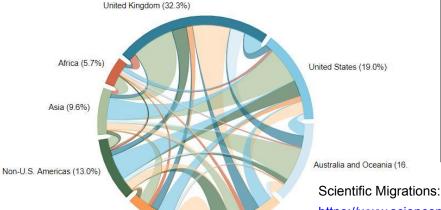
#### Research Impact & Global Connections

- Persistent, trusted, digital identity impacts researcher evaluation
- ORCID is the most-used identifier across the globe for asserting online identity
- Stronger digital identity leads to more research engagement

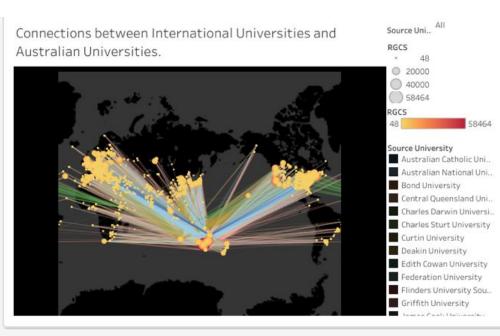


#### Research Impact & Global Connections





Non-EU Europe (16.1%)



#### ResearchGraph-ORCID-Collaboration-flow-

map

ResearchGraph: Melroy Almeida, Australian Access

Federation

https://public.tableau.com/profile/melroy#!/

https://www.sciencemag.org/news/2017/05/vast-set-public-cvs-reveals-world-s-most-migratory-scientists

European Union except U.K. (16.3%)

#### ORCID & Open Research

#### THE ASTROPHYSICAL JOURNAL LETTERS

#### **OPEN ACCESS**

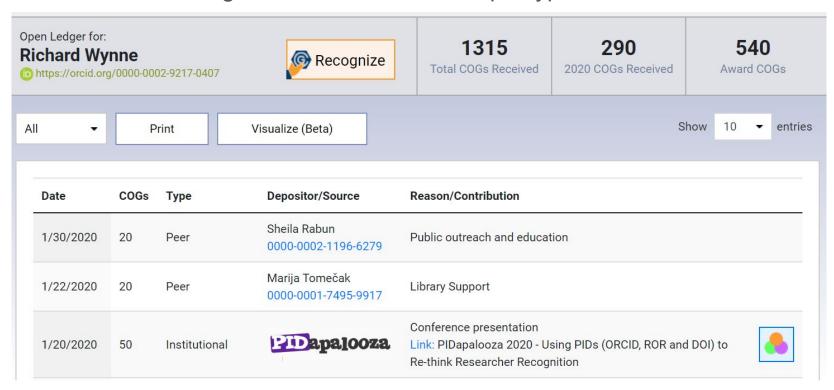
First M87 Event Horizon Telescope Results. I. The Shadow of the Supermassive Black Hole

- When research contributions are findable, centrally located, and linked to the individuals involved via ORCID:
  - Data and deliverables can be more easily shared and re-used
  - Researchers can find each other and make connections
  - Researchers get recognition for their work

#### Researcher Recognition

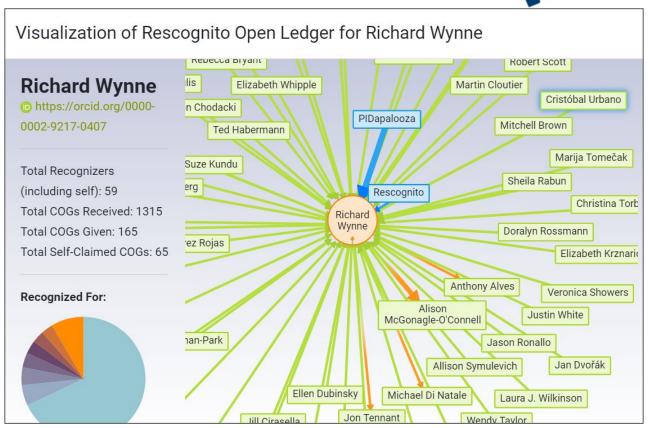


 Rescognito.com = ORCID-based platform that allows individuals and institutions to recognize individuals for multiple types of contributions



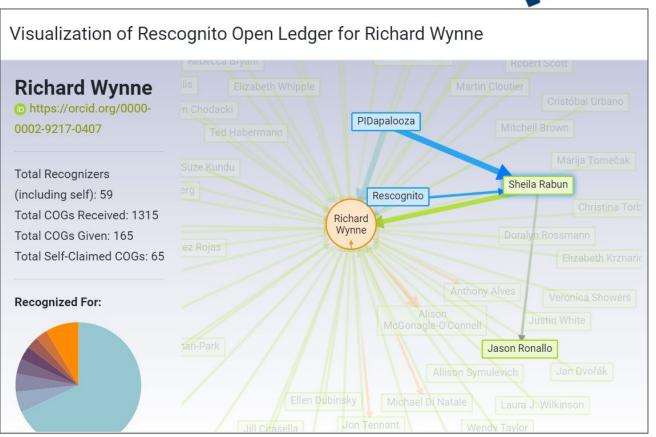
#### Researcher Recognition





#### Researcher Recognition









# ORCID for Research Administrators



# The Life of a Research Administrator





### How can ORCID help?

- ORCID iDs are unique identifiers for researchers
  - Think of all the systems where data "lives"
  - How easy is it to get that data to one place? (trick question!)
- Questions that need data as answers
  - Targeted investments, strategic planning
- Sponsored projects & Reducing faculty burden



# **Sponsored Projects**

- Proposal Applications & Institutional Profiles
  - What could the future look like?
- Grant application preparation
  - Share ID when interacting with funder / published / employer systems
  - Updates ORCID with information to interact with other research systems



# Systems & Access Policies

- Sponsor systems
  - National Institutes of Health
  - National Science Foundation
  - ProposalCENTRAL (what the future DOES look like)

- US public access policies
  - 2012 OSTP memo on publications & availability
  - ORCID is organic to this process
  - NIH Manuscript Submission & PubMed not just for NIH anymore!



# Reducing Burden

- Reducing faculty burden
- Federal Demonstration Partnership (FDP)
  - Strategic planning
  - SciENcv
- American Innovation & Competitiveness Act (AICA 2017)
  - Research Business Models working group report
    - <a href="https://www.whitehouse.gov/wp-content/uploads/2018/05/Reducing-Federal-Administrative-and-Regulatory-Burdens-on-Research.pdf">https://www.whitehouse.gov/wp-content/uploads/2018/05/Reducing-Federal-Administrative-and-Regulatory-Burdens-on-Research.pdf</a>
    - https://www.congress.gov/bill/114th-congress/senate-bill/3084



# University of Arizona

- History of SciENcv includes universities!
- What we're doing:
  - Integration with UAVitae, our online system for annual faculty reviews
  - Collaboration with VPR office and libraries on public access, open access, repositories
  - Brainstorming applications for ORCID information
  - What we hope(d) to do, then COVID-19 hit



#### Call to Action

- Meet your research librarians!
- Watch the NSF webinars (links on next slide)
- FDP members: get involved on this topic!
- Learn about ORCID at your institution & encourage your faculty to grant access - how can you help?
- Work with your eRA vendors to add ORCID to systems

#### Research Admin Resources



- SciENcv (includes tutorials): <a href="https://www.ncbi.nlm.nih.gov/sciencv/">https://www.ncbi.nlm.nih.gov/sciencv/</a>
- NSF Webinars
  - April 9 recording NSF and SciENcv
     https://www.nsfpolicyoutreach.com/resources/april-2020-nsf-approved-formats-for-proposals/
  - May 14, 2020 PAPPG updates- Biosketch, Current & Pending Support: <a href="https://www.nsf.gov/bfa/dias/policy/era\_forum.jsp">https://www.nsf.gov/bfa/dias/policy/era\_forum.jsp</a>
- NIH Trainees, Fellows, Career (K) awards:
   <a href="https://grants.nih.gov/grants/guide/notice-files/NOT-OD-19-109.html">https://grants.nih.gov/grants/guide/notice-files/NOT-OD-19-109.html</a>
- Institutional practices:
  - North Carolina State University: <a href="https://ci.lib.ncsu.edu">https://ci.lib.ncsu.edu</a>
  - University of Arizona: <a href="https://orcid.arizona.edu">https://orcid.arizona.edu</a>

# Questions?